



UNITED STATES PATENT AND TRADEMARK OFFICE



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Page 1 of 2

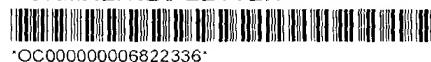
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/903,508	07/13/2001	Charles Abbas	1533 0830003/SRL/SEZ

CONFIRMATION NO. 3856

26111
STERNE, KESSLER, GOLDSTEIN & FOX PLLC
1100 NEW YORK AVENUE, N.W., SUITE 600
WASHINGTON, DC 20005-3934

FORMALITIES LETTER



OC000000006822336

#

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1 825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable

05/02/2002 YPOLITE1 00000002 09903508

130.00 00

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form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



ATTORNEYS AT LAW

APR 30 2002

U.S. PATENT & TRADEMARK OFFICE

Commissioner for Patents
Washington, D.C. 20231

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April 30, 2002

WRITER'S DIRECT NUMBER:
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INTERNET ADDRESS:
MCIMBALA@SKGF.COM

Box Missing Parts

Re: U.S. Utility Patent Application
Appl. No. 09/903,508; Filed: July 13, 2001
For: **Transformation Systems for Flavinogenic Yeast**
Inventors: Abbas *et al.*
Our Ref: 1533.0830003/MAC/RGM

Sir:

In reply to the Notice to File Missing Parts of Application--Filing Date Granted and Notice to Comply with Sequence Rules dated **October 1, 2001**, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Petition for Extension of Time under 37 C.F.R. § 1.136;
2. PTO Fee Transmittal Form PTO/SB/17;
3. Copy of the Notice to File Missing Parts;
4. Preliminary Amendment and Submission of Sequence Listing;
5. Paper and computer readable copy of Sequence Listing;
6. Original Declaration, executed by the inventors;
7. Letter to PTO Draftsman: Submission of Formal Drawings;
8. Substitute drawings in compliance with 37 C.F.R. 1.84 (FIGS. 1-45);
9. Return postcard; and

Commissioner for Patents
April 30, 2002
Page 2

10. Our Check No. 35004 for \$ 2,090.00 to cover:
\$ 130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16)
\$ 1,960.00 For extension of time fees under 37 C.F.R. § 1.136.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

In accordance with 37 C.F.R. § 1.821(f), the paper copy and the computer readable copy of the Sequence Listing submitted herewith are the same. In accordance with 37 C.F.R. § 1.821(g), the submission of this sequence listing includes no new matter.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Michele A. Cimbala
Michele A. Cimbala
Attorney for Applicants
Registration No. 33,851

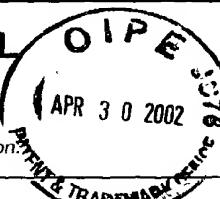
MAC/RGM:krm
Enclosures

SKGF_DC1-87301

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.



TOTAL AMOUNT OF PAYMENT

(\$2,090.00)

Complete if Known

Application Number	09/903,508
Filing Date	July 13, 2001
First Named Inventor	Charles ABBAS
Examiner Name	Not Yet Assigned
Group Art Unit	1645
Attorney Docket No.	1533.0830003/MAC/RGM

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:		3. ADDITIONAL FEES					
Deposit Account Number	19-0036	Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)
Deposit Account Name	Sterne, Kessler, Goldstein & Fox P.L.L.C.						
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		Fee Description					
<input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		Fee paid					
2. <input checked="" type="checkbox"/> Payment Enclosed:							
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other* *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036							
FEE CALCULATION							
I. BASIC FILING FEE							
Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description		Fee Paid	
101	740	201	370	Utility filing fee			
106	330	206	165	Design filing fee			
107	510	207	255	Plant filing fee			
108	740	208	370	Reissue filing fee			
114	160	214	80	Provisional filing fee			
SUBTOTAL (1) (\$)							
2. EXTRA CLAIM FEES							
Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Extra	Fee from below	Fee Paid	
103	18	203	9	Claims in excess of 20			
102	84	202	42	Independent claims in excess of 3			
104	280	204	140	Multiple dependent claim			
108	84	209	42	**Reissue independent claims over original patent			
110	18	210	9	**Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2) (\$)							
** or number previously paid, if greater; For Reissues, see above							
*Reduced by Basic Filing Fee Paid							
SUBTOTAL (3) (\$ 2,090.00)							

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Michele A. Cimbala	Registration No. (Attorney/Agent)	33,851	Telephone	202-371-2600
Signature	<i>Michele A. Cimbala</i>			Date	4.30.02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SKGF Rev. 10/01/01 mnac

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U.S. Patent and Trademark Office
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Abbas *et al.*

Appl. No. 09/903,508

Filed: July 13, 2001

For: **Transformation Systems for
Flavinogenic Yeast**

Confirmation No. 3856

Art Unit: 1645

Examiner: *Not Yet Assigned*

Atty. Docket: 1533.0830003/MAC/RGM

Batch No.: N/A

Letter to PTO Draftsman: Submission of Formal Drawings

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are 40 sheet(s) of formal drawings with Figure(s) 1-45, corresponding to the informal drawing(s) submitted with the above-captioned application. Identification of the drawing(s) is provided in accordance with 37 C.F.R. § 1.84(c). Acknowledgment of the receipt, approval, and entry of these formal drawing(s) into this application is respectfully requested.

It is not believed that an extension of time is required, other than any already provided herewith. However, if an extension of time is needed to prevent abandonment of the application, then such extension of time is hereby petitioned. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Michele A. Cimbala
Attorney for Applicants
Registration No. 33,851

Date: April 30, 2002

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